

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Taira Hanaoka, et al.

**Examiner:** Jeffrey D. Carlson

**Serial No.:** 09/748,843

**Art Unit:** 3622

**Filed:** December 27, 2000

**Docket:** 14196

**For:** PORTABLE INFORMATION  
TERMINAL APPARATUS AND  
INFORMATION PROVISION METHOD

**Dated:** November 14, 2003

Commissioner for Patents  
United States Patent and Trademark Office  
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**GROUP 3600**

**STATEMENT PURSUANT  
TO 37 C.F.R. § 1.97(c)(1) and (e)(1)**

Sir:


I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**


I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450 on November 14, 2003.

**Dated:** November 14, 2003

  
\_\_\_\_\_  
**Paul J. Esatto, Jr.**

Accordingly, it is respectfully requested that the accompanying Information Disclosure Statement be considered with respect to the above-identified application.

Respectfully submitted,



Paul J. Esatto, Jr.  
Registration No. 30,749

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3622

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. 14196
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In Re Application Of: **Taira Hanaoka, et al.**

Serial No. 09/748,843	Filing Date December 27, 2000	Examiner Jeffrey D. Carlson	Group Art Unit 3622
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Title: **PORTABLE INFORMATION TERMINAL APPARATUS  
AND INFORMATION PROVISION METHOD**

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**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14196

In Re Application: Taira Hanaoka, et al.

Serial No.  
09/748,843

Filing Date  
December 27, 2000

Examiner  
Jeffrey D. Carlson

Group Art Unit  
3622

**PORTABLE INFORMATION TERMINAL APPARATUS  
AND INFORMATION PROVISION METHOD**

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